

1 Predicting residential indoor concentrations of nitrogen dioxide, fine particulate matter,
2 and elemental carbon using questionnaire and geographic information system based data

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18

19 **Abstract**

20 Previous studies have identified associations between traffic-related air pollution
21 and adverse health effects. Most have used measurements from a few central ambient
22 monitors and/or some measure of traffic as indicators of exposure, disregarding spatial
23 variability and/or factors influencing personal exposure-ambient concentration

24 relationships. This study seeks to utilize publicly available data (i.e., central site
25 monitors, geographic information system (GIS), and property assessment data) and
26 questionnaire responses to predict residential indoor concentrations of traffic-related air
27 pollutants for lower socioeconomic status (SES) urban households.

28 As part of a prospective birth cohort study in urban Boston, we collected indoor
29 and outdoor 3-4 day samples of nitrogen dioxide (NO₂) and fine particulate matter
30 (PM_{2.5}) in 43 low SES residences across multiple seasons from 2003 – 2005. Elemental
31 carbon concentrations were determined via reflectance analysis. Multiple traffic
32 indicators were derived using Massachusetts Highway Department data and traffic counts
33 collected outside sampling homes. Home characteristics and occupant behaviors were
34 collected via a standardized questionnaire. Additional housing information was collected
35 through property tax records, and ambient concentrations were collected from a centrally-
36 located ambient monitor.

37 The contributions of ambient concentrations, local traffic and indoor sources to
38 indoor concentrations were quantified with regression analyses. PM_{2.5} was influenced
39 less by local traffic but had significant indoor sources, while EC was associated with
40 traffic and NO₂ with both traffic and indoor sources. Comparing models based on
41 covariate selection using p-values or a Bayesian approach yielded similar results, with
42 traffic density within a 50m buffer of a home and distance from a truck route as important
43 contributors to indoor levels of NO₂ and EC, respectively. The Bayesian approach also
44 highlighted the uncertainty in the models. We conclude that by utilizing public databases
45 and focused questionnaire data we can identify important predictors of indoor
46 concentrations for multiple air pollutants in a high-risk population.

47

48 **Keywords:** indoor air; NO₂; PM_{2.5}; EC; geographic information system

49

50 **1. Introduction**

51 Numerous studies have identified associations between traffic-related air pollution
52 and adverse health effects either by characterizing exposures to specific pollutants using
53 measurements from a few central ambient sites (Dockery et al. 1993; Pope et al. 1995;
54 Studnicka et al. 1997; Laden et al. 2000), or by some measure of traffic (Oosterlee et al.
55 1996; Garshick et al. 2003; Heinrich et al. 2005; Ryan et al. 2005). Yet, by ignoring the
56 contribution of indoor sources and the effect of residential ventilation, it is difficult to
57 accurately estimate personal exposures, especially in an intraurban epidemiological
58 study. Residential indoor concentrations are a product of ambient-generated pollution
59 that has infiltrated indoors and indoor-generated pollution, and are strongly correlated
60 with personal exposures (Levy et al. 1998; Koistinen et al. 2001; Kousa et al. 2001;
61 Brown 2006). However, it is often impractical to obtain direct indoor measurements (or
62 personal exposure measurements) for all participants in a large epidemiological study,
63 raising the question of how personal exposures can be best estimated. Given the logistical
64 constraints, utilizing public databases and focused questionnaires may be the best
65 approach to reasonably estimate indoor and therefore personal exposures.

66 In lieu of using home-specific outdoor measurements to determine ambient-
67 generated pollutant exposures (which would be nearly as labor-intensive as indoor
68 monitoring), factors generated from Geographic Information Systems (GIS), such as
69 distance from road, population density, and land use can be used in combination with

70 central site monitoring data to estimate ambient exposures (Briggs et al. 1997; Brauer et
71 al. 2003). Questionnaire (e.g., opening of windows, air conditioning usage) and/or
72 property assessment data on individual building characteristics can then be used to
73 estimate residential ventilation patterns (Long et al. 2001; Setton et al. 2005) that
74 potentially affect the influence of ambient concentrations and indoor sources (Abt et al.
75 2000). Similarly, questionnaire data on exposure-related activities can be used to predict
76 indoor sources.

77 The current study seeks to utilize publicly available data (i.e., central site
78 monitors, GIS, and property assessment data) and questionnaire responses to predict
79 residential indoor concentrations of traffic-related air pollutants for lower socioeconomic
80 status (SES) households in an urban area. Lower SES urban residents have been
81 previously identified as a high risk population for asthma (The American Lung
82 Association 2001) and often live in smaller apartments, possibly resulting in greater
83 contributions from indoor sources (given smaller volumes and higher occupant densities),
84 traffic (nearer to busier roads), and different ventilation patterns (given adjoining units
85 and lack of central air conditioning). We will build upon previously developed predictive
86 models identifying important indoor source terms in this population (Baxter et al. in
87 press), and home characteristics and occupant behaviors associated with infiltration
88 (Baxter et al. 2006). We hypothesize that GIS variables addressing traffic volume and
89 composition will be more predictive of indoor levels for pollutants with more spatial
90 heterogeneity and fewer indoor sources, such as elemental carbon (EC), relative to those
91 with less spatial heterogeneity (fine particulate matter, PM_{2.5}) or those with indoor
92 sources (PM_{2.5} and nitrogen dioxide, NO₂).

93

94 **2. Methods**

95 *2.1 Data Collection*

96 Study design, sampling, analysis, and quality control measures are described in a
97 previous publication (Baxter et al. in press). Briefly, residential indoor and outdoor PM_{2.5}
98 and NO₂ samples and home characteristics/occupant behavior data were collected at 43
99 homes from 2003 - 2005 in the metropolitan Boston area as part of the Asthma Coalition
100 for Community, Environment, and Social Stress (ACCESS) study, a prospective birth
101 cohort assessing asthma etiology in a lower SES population. Sampling was conducted in
102 two seasons, the non-heating (May – October) and heating season (December – March).
103 When possible, two consecutive 3-4 day measurements were collected in each season; all
104 analyses were based on the average of within-season measurements. PM_{2.5} samples were
105 collected with Harvard Personal Environmental Monitors (PEM) on Teflon filters, and
106 analyzed for EC using reflectance analysis. NO₂ concentrations were measured using
107 Yanagisawa passive filter badges. A standardized questionnaire was administered at the
108 end of each sampling period to gather housing characteristics/occupant behavior data.
109 The study was approved by the Human Studies Committee at the Brigham & Women’s
110 Hospital and the Harvard School of Public Health.

111 Information on housing characteristics was also collected through the City of
112 Boston, Brookline, Cambridge, and Somerville property tax records, and ambient
113 concentrations were collected from an ambient monitor (the Massachusetts Department
114 of Environmental Protection monitor in Dudley Square, Roxbury) located near the center
115 of our monitoring area. Ambient concentrations were averaged over the same sampling

116 period (matching date and time) as when the indoor and outdoor samples were collected.
117 Finally, continuous traffic counts were recorded on the largest road within 100m of the
118 home with a Jamar Trax I Plus traffic counter.

119 Sample homes were individually geocoded with ArcGIS 9.1 using U.S. Census
120 TIGRE files and City of Boston street parcels data, and combined with road networks and
121 traffic data obtained from the Massachusetts Highway Department (MHD) to create
122 various measures of traffic. Because different aspects of traffic (e.g. density, roadway
123 configuration, vehicle speed) may affect overall emission rates, pollutant mix, and
124 dispersal, we created and examined a number of traffic indicators to capture varying
125 characteristics, including cumulative traffic density scores (unweighted and kernel-
126 weighted) at various radii (50-500m), distance-based measures, total roadway length
127 measures, and characteristics of traffic on the nearest major road to each home. To
128 consider the influence of the nearest major road, we created indicators for its average
129 daily traffic, diesel traffic (using axle length from ACCESS traffic measurements), and
130 weighted each by distance to the road. Lastly, block group-level population and area
131 measures were used to estimate population density (Clougherty 2006).

132

133 *2.2. Data Analysis*

134 *2.2.1 Regression Models*

135 Models utilizing publicly available data and questionnaire responses were
136 developed by regressing ambient concentrations, predetermined indoor source terms, and
137 traffic indicators against indoor concentrations as seen in Equation (1).

138

139
$$Cin_{ij} = \beta_{0j} + \beta_{1j} * Cambient_{ij} + \beta_{2j} * Q_{ij} + \beta_{3j} * Traffic_{ij} \quad (1)$$

140

141 where Cin_{ij} (ppb, $\mu\text{g}/\text{m}^3$, or $\text{m}^{-1} \times 10^{-5}$) is the indoor concentration of pollutant j for
142 sampling session i , $Cambient_{ij}$ is the concentration collected from the ambient monitors,
143 Q_{ij} is a vector of the various indoor source terms, and $Traffic_{ij}$ represents the different
144 traffic indicators created for each home and then selected by pollutant. The indoor source
145 terms were determined from a previous analysis where home-specific outdoor
146 concentrations and exposure-related activities, collected via questionnaire, were regressed
147 against home-specific indoor concentrations. The indoor source terms were as follows:
148 for $\text{PM}_{2.5}$, cooking time ($\leq 1/\text{day}$ vs. $> 1\text{h}/\text{day}$) and occupant density (people/room); for
149 NO_2 , gas stove usage (using an electric stove or a gas stove $\leq 1 \text{ h}/\text{day}$ vs. using a gas stove
150 $> 1\text{h}/\text{day}$); and for EC, no indoor sources were identified (Baxter et al. in press). We
151 restricted our modeling to these terms, for the sake of comparability and to minimize the
152 likelihood of spurious findings. The best model was then selected based on the lowest p-
153 values for the traffic term.

154 Although many homes had two sampling sessions, conducted in two different
155 seasons (a heating and non-heating season), these were broadly defined and covered a
156 period up to 6 months. Therefore, each sampling session was treated as an independent
157 measurement. In all regression models, outliers were removed that unduly influenced
158 regression results, defined as having an absolute studentized residual greater than four.
159 One outlier was removed for $\text{PM}_{2.5}$ and two were removed for EC.

160

161 2.2.2. *Bayesian Variable Selection*

162 With 24 traffic variables and a small dataset, there may be issues with comparing
 163 models using p-values, both because multiple variables may have similar significance
 164 levels and because the observed relationships may be due to chance. For a more formal
 165 model comparison, a Bayesian approach was used to estimate the probability that a model
 166 using a given traffic covariate is the best model. This approach allowed us to weigh the
 167 evidence for each traffic term and see the amount of uncertainty in choosing the best
 168 model. The posterior model probabilities for each pollutant are shown by Equations (2) –
 169 (4) (George and McCulloch 1997; Chipman et al. 2001).

170

$$171 \quad P(M_k|Y) \propto l(Y|M_k) * P(M_k) \quad (2)$$

172

173 where M_k is the model with traffic term k when all of the other variables (e.g. ambient
 174 concentrations, indoor sources) are in the model, Y is the observed indoor concentrations
 175 for one of the pollutants, $P(M_k|Y)$ is the posterior model probability of M_k given Y , $l(Y|M_k)$
 176 is the marginal likelihood of Y given M_k , $P(M_k)$ is the prior probability that M_k is the true
 177 model. We assumed the same prior probability $P(M_k)$ for all of the traffic terms, equal to
 178 $\frac{1}{N}$ (N = the number of traffic terms).

179 The marginal likelihood is the likelihood of the observed data under M_k
 180 accounting for the uncertainty in the regression coefficients as shown in Equation (3).

$$181 \quad l(Y|M_k) = \sqrt{\frac{1}{c+1}} * \frac{1}{\left(\sum_{i=1}^k Y_i^2 - \frac{\left(\sum_{i=1}^n X_{ik} Y_i \right)^2}{\left(1 + \frac{1}{c} \right) * \sum_{i=1}^n X_{ik}^2} \right)^{\frac{n}{2}}} \quad (3)$$

182 where Y_i is the residual from sampling session i from regressing indoor concentrations on
 183 ambient concentrations and indoor source terms, X_{ik} is the residual from regressing traffic
 184 term k on ambient concentrations and indoor source terms, n is the number of
 185 observations, and c reflects our prior uncertainty on the regression coefficients of the
 186 traffic terms in Y_j/M_k . We used $c = n$, making c large enough to acknowledge reasonable
 187 uncertainty in the effect estimates while still giving very unlikely effect estimates low
 188 prior probability. We also conducted sensitivity analysis by calculating the posterior
 189 probabilities with a range of c 's (5 -100) (Chipman et al. 2001).

190 The probabilities then need to be normalized as shown in Equation (4) (multiplied
 191 by 100 to calculate a percentage).

192

$$193 \quad P(M_k|Y) = \frac{P(M_k|Y)}{\sum_{i=1}^N P(M_k|Y)_i} * 100 \quad (4)$$

194

195 In a sensitivity analysis, we considered another model where M_0 is the model
 196 without a traffic term. We assumed a $P(M_k)$ of $1/2$ and $1/2N$ for M_0 and M_k (models with the
 197 traffic term), respectively. This assumed an equal chance of traffic affecting indoor
 198 concentrations as not. Using the $1/2N$ weights in the model selection inherently penalized
 199 for testing many traffic terms in a small dataset. The posterior probabilities of M_0 for
 200 each pollutant were calculated as shown by Equation (5) and normalized utilizing
 201 Equation (4).

202

$$\begin{aligned}
& P(M_k|Y) \propto l(Y|M_k) * P(M_k) \\
203 \quad & \propto \frac{1}{\left(\sum_{i=1}^k Y_i^2\right)^{\frac{n}{2}}} * P(M_k) \tag{5}
\end{aligned}$$

204

205 2.2.3 Effect Modification by Ventilation Characteristics

206 The model expressed in Equation (1) does not account for variations in home
207 ventilation patterns which may influence the effect of indoor sources, local traffic, and
208 ambient concentrations. In this study there are no direct measurements of air exchange
209 rates (AERs), so we relied on other methods to capture the effects of ventilation. Prior
210 studies conducted in Boston area homes observed a strong relationship between the
211 infiltration factor (F_{INF}) and AER (Sarnat et al. 2002; Long and Sarnat 2004). In a
212 previous analysis, we described home ventilation characteristics using F_{INF} estimated by
213 the indoor-outdoor sulfur ratio, and then estimated the contribution of season, home
214 characteristics (e.g. year of construction, apartment vs. multi-family home, and floor
215 level), and occupant behaviors (e.g. open windows and air conditioner use). We
216 predicted F_{INF} using logistic regression, dichotomizing F_{INF} at the median into high and
217 low categories, and found open windows to be the most significant contributor in our
218 dataset (Baxter et al. 2006).

219 The variable of open windows (no vs. yes) was therefore used as a readily
220 available proxy for the infiltration factor and was incorporated as an interaction term into
221 the model illustrated in Equation (1). This can be expressed as:

222

223
$$Cin_{ij} = \beta_{oj} + \beta_{1j} * Cambient_{ij} * Openwindows_i + \beta_{2j} * Q_{ij} * Openwindows_i$$

 224
$$+ \beta_{3j} * Traffic_i * Openwindows_i$$
 (6)

224

225 where *Openwindows_i* indicates whether during the sampling period the occupant had their
 226 windows open or closed. Adhering to the mass balance framework, the opening of
 227 windows should theoretically increase the influence of ambient concentrations and traffic
 228 while decreasing the influence of indoor sources. All analyses were done using SAS
 229 version 8.

230

231 **3. Results and Discussion**

232 *3.1 Data Analysis*

233 *3.1.1. General Characteristics*

234 A total of 66 sampling sessions were conducted. The 43 sites (shown in Figure 1)
 235 were distributed among 39 households throughout urban Boston, with 4 participants
 236 moving and allowing us to sample in their new home. Summary statistics of NO₂, PM_{2.5},
 237 and EC for indoor, outdoor, and ambient concentrations (collected from a centrally
 238 located monitor) are presented in Table 1 and are comparable to those seen in other
 239 studies (Zipprich et al. 2002; Brunekreef et al. 2005; Meng et al. 2005; Brown 2006).
 240 Average indoor concentrations of NO₂ and PM_{2.5} are greater than both home-specific
 241 outdoor and ambient concentrations while indoor concentrations of EC were less than
 242 both outdoor and ambient concentrations. For EC, ambient concentrations are in mass-
 243 based units while the absorption coefficient is used for the indoor and outdoor
 244 concentrations. For the sake of comparison, a conversion factor of 0.83 µg/m³ per m⁻¹ x

245 10^{-5} (Kinney et al. 2000) was used on the indoor and home-specific outdoor
246 concentrations.

247 We regressed indoor concentrations on outdoor concentrations, indoor on
248 ambient, and outdoor on ambient, to help determine the likely predictors of indoor
249 concentrations (Table 2). For our outdoor concentrations, the ambient monitor was
250 strongly predictive for $PM_{2.5}$, but not for NO_2 or EC. This indicates that temporal rather
251 than small-scale spatial variability was dominant for $PM_{2.5}$, whereas for NO_2 and EC,
252 there was more pronounced spatial variability and more influential local sources, such as
253 local traffic conditions. The coefficients of determination (R^2) for indoor vs. outdoor and
254 indoor vs. ambient are similar to one another for NO_2 and $PM_{2.5}$, however, outdoor and
255 ambient concentrations did not explain the majority of variability seen in indoor
256 concentrations, possibly due to the influences of indoor sources. For EC, the R^2 s were
257 quite different, with outdoor concentrations explaining a large portion of the variability
258 whereas ambient concentrations did not due to the influence of local traffic.

259

260 *3.1.2 Regression Models*

261 Variables and regression coefficients of the regression models with the most
262 significant traffic terms are shown in Table 3. The unweighted cumulative density score
263 within 50 m of the home was associated with an increase in indoor NO_2 levels. For EC, a
264 proxy for diesel traffic appeared to be predictive of indoor concentrations, with levels
265 decreasing as the distance a home is from a designated truck route increases. No traffic
266 variable was significantly associated with indoor $PM_{2.5}$ concentrations.

267

268 *3.1.3. Bayesian Variable Selection*

269 For each pollutant, the posterior probabilities of models using the different traffic
270 variables were calculated and grouped based on the GIS algorithm used to create them
271 (Table 4). Posterior probabilities greater than three times the prior probability (4.2%)
272 included the unweighted cumulative density score within a 50m buffer, which yielded the
273 highest probability (26.5%) for NO₂, and distance from a designated truck route (14.3%)
274 for EC. Average daily traffic (ADT) had the highest posterior probability in the PM_{2.5}
275 models (8.3%), but was less than twice the prior probability, and multiple additional
276 measures had comparable probabilities. We calculated these posterior probabilities using
277 a range of *c*'s (5-100) and the results were similar (not shown).

278 Within the Bayesian analysis, all posterior probabilities were under 30%,
279 emphasizing the difficulty in choosing the correct model with a small dataset and many
280 correlated predictors. For NO₂, models describing traffic closer to the home (50 -100m
281 buffers) generally had the highest probabilities. This agrees with previous studies
282 showing outdoor NO₂ levels decreasing significantly with increasing logarithmic distance
283 from the road (Roorda-Knape et al. 1999; Gilbert et al. 2003), and the majority of air
284 pollution from the road occurring within 50-75m (Van Roosbroeck et al. 2006).
285 Therefore roadways within 50m of the home may be the largest contributor to the total
286 NO₂ concentration.

287 For EC, the highest probability traffic terms were related to truck traffic. EC has
288 commonly been used as a marker for diesel particles (Gotschi et al. 2002) and since
289 almost all heavy-duty trucks have diesel engines, it is expected that a traffic indicator
290 summarizing truck traffic would be important, especially in the United States where

291 relatively few passenger vehicles use diesel fuel. In contrast to the other pollutants, the
292 traffic model with the highest probability (ADT) was not significant in the indoor PM_{2.5}
293 model. None of the models yielded probabilities over 10%, suggesting little differential
294 information value across covariates and therefore that a traffic variable may not be
295 necessary in the model. This was not entirely unexpected given that PM_{2.5} exhibits less
296 spatial heterogeneity than the other pollutants (Roorda -Knape et al. 1998).

297 To address the issue of multiple testing, sensitivity analyses calculated the
298 posterior probabilities for pollutant models with (M_k) and without a traffic term (M_0)
299 assuming an equal chance of traffic affecting indoor pollutant concentrations as not. For
300 all of the pollutants, the models without the traffic term had high probabilities, with
301 77.3% for NO₂, 84.3% for PM_{2.5}, and 84.6% for EC, reflecting both the presumed prior
302 probabilities and the relatively small amount of variability explained by the traffic terms.
303 The highest probabilities for those models with the traffic term were 6.02% (unweighted
304 cumulative density score within a 50m buffer) for NO₂, 1.31% (ADT) for PM_{2.5}, and
305 2.21% (distance from a designated truck route) for EC. This suggests the difficulty in
306 relating traffic variables to indoor concentrations given less spatial variation across an
307 urban area as opposed to comparing an urban vs. suburban/rural area, as well as the
308 contribution of indoor sources and ventilation. The small sample sizes and multiple
309 testing also contribute to the difficulty of definitively demonstrating that traffic terms
310 should be in the model.

311

312 *3.1.4 Effect Modification by Ventilation Characteristics*

313 The use of open windows as a ventilation proxy agrees with a similar study
314 conducted in Boston which found air exchange rates (AER) higher in homes with open
315 windows, and that an open windows covariate may be a better estimate of air exchange
316 with outdoors than measured AERs for multi-unit buildings, such as those seen in the
317 current study. This is because measured AERs cannot distinguish between make-up air
318 from adjacent apartments and the air from the outdoors (Brown 2006). The term
319 *openwindows* served as a proxy for ‘high’ and ‘low’ infiltration factors and is used as an
320 effect modifier as described by Equation (5). This was done without modifying the effect
321 of indoor sources due to the limited statistical power and resulting statistical instability
322 when effect modification of indoor sources was included (related in part to the use of
323 categorical variables for many indoor source terms). The final models, including only the
324 significant ($p < 0.2$) interaction terms, are shown in Table 5. For NO₂ and EC, the traffic
325 variables were significantly modified by the open windows variable, with their effects on
326 indoor levels more pronounced in homes where windows were opened. For PM_{2.5}, the
327 effect of ambient concentrations was significantly greater in home where windows were
328 opened compared to those where windows were kept closed. The inclusion of this term
329 increased the R² from 0.02 to 0.25 for NO₂, 0.20 to 0.40 for PM_{2.5}, and 0.16 to 0.32 for
330 EC.

331

332 *3.2. Contribution of indoor and outdoor sources to indoor concentrations*

333 It is also important to understand whether indoor or outdoor sources appear to
334 contribute more to indoor concentrations. We therefore calculated the contributions due
335 to local traffic and indoor sources for NO₂, of traffic on EC, and of ambient

336 concentrations and indoor sources on PM_{2.5}. For NO₂, the contribution of local traffic,
337 given a range of cumulative unweighted density traffic scores (within 50m buffer) from
338 4.1-198 vehicles*m, was approximately 0.29 ppb – 14 ppb for homes with open
339 windows, with no significant contribution to homes with closed windows. This is
340 comparable to a study conducted in the Netherlands which reported a difference of about
341 7 ppb in average classroom concentrations comparing schools in high urbanization areas
342 to schools in low urbanization areas (Rjinders et al. 2001). Gas stove usage contributed
343 on average 7 ppb to indoor NO₂ levels, similar in magnitude as observed in previous
344 studies (Lee et al. 1998; Levy et al. 1998). Thus, local traffic is a larger contributor to
345 indoor NO₂ where traffic density is high and windows are opened, whereas indoor
346 sources are a larger contributor when traffic density is low or windows are closed.

347 Similarly, traffic contributed up to 0.2 µg/m³ to indoor EC for homes with open
348 windows, with an insignificant contribution for homes where windows were closed.
349 Previous studies have found EC concentrations to be 50% higher in homes located on
350 high intensity streets compared to low traffic homes (Fischer et al. 2000). In addition,
351 indoor EC increased 1.91 µg/m³ with increasing truck traffic density (Janssen et al.
352 2001), although in a European setting with greater prevalence of diesel vehicles.

353 Ambient concentrations contributed an average of 15 µg/m³ to indoor PM_{2.5} for
354 homes with open windows, and 10 µg/m³ for homes where windows were closed.
355 Additionally, cooking for more than an hour per day contributed 6.2 µg/m³ and average
356 occupant density contributed 6.5 µg/m³. The effect of cooking is comparable to results
357 from prior studies (Ozkaynak et al. 1994; Brunekreef et al. 2005). Occupant density is
358 likely a proxy for multiple factors, including resuspension activities. Resuspension has

359 not been as substantial of a contributor in previous studies, although the smaller volumes
360 and greater crowding of our study homes may increase the relative source strength.

361 Finally, in a previous paper we predicted indoor concentrations using home-
362 specific outdoor concentrations and indoor sources (Baxter et al. in press). For $PM_{2.5}$ and
363 NO_2 the predictive power of the models (R^2 of 0.37 and 0.16, respectively) are similar to
364 those seen in the current analysis. This was expected given the large influence of indoor
365 sources to indoor levels of these pollutants. In contrast, for EC, the predictive power of
366 the model from the current analysis ($R^2 = 0.32$) was weaker than seen in the previous
367 analysis ($R^2 = 0.49$). EC tends to be dominated by outdoor sources; it is therefore more
368 important to accurately capture its outdoor spatial pattern wherein our traffic indicators
369 may not be adequate.

370

371 *3.3 Limitations*

372 The ambient monitor is located within the city and may be influenced by local
373 traffic. It also uses different measurement methods for EC, possibly explaining both
374 model performance and the higher ambient concentrations relative to outdoor. However,
375 the Dudley Square monitor includes all three pollutants, is at the center of our monitoring
376 region, and is well correlated with other ambient monitors in and around Boston. The
377 sample size also limited our ability to explore a larger range of potential indoor source
378 terms and traffic variables. Deficiencies in the underlying data, with traffic counts on
379 smaller residential roads sparse, led to increased uncertainties for these variables in that
380 they may be imperfect proxies of traffic volume/composition. In addition, many of these
381 indicators do not capture the characteristics of traffic that are relevant to concentrations

382 of different pollutants. For example, dense stop-and-go traffic may create more emissions
383 per vehicle-mile, and total traffic counts fail to capture such aspects. For this reason a
384 variety of traffic indicators were created to capture these different effects as well as those
385 not dependent on total traffic counts (e.g. road segment lengths).

386 Additionally, the open windows variable may not effectively capture a home's
387 ventilation characteristics in that it is used as proxy for the sulfur indoor/outdoor ratio
388 which itself is a proxy of the infiltration factor. Similarly, the indoor source terms are
389 developed from questionnaires which are surrogates for the source emissions rate and
390 may represent a variety of occupant activities. However, these limitations are inherent in
391 developing exposure estimates based on publicly available or questionnaire data.

392 Due to limited statistical power we also were not able to incorporate the
393 interaction term on the indoor sources, omitting the effect of ventilation on the indoor
394 source contribution. Finally, while it may have been desirable to develop season-specific
395 models given the inherent seasonality in many factors, we did not have adequate power to
396 construct those models. While it is apparent that many limitations are related to statistical
397 power, it is often difficult to generate a large exposure dataset in an epidemiological
398 context, so many of these issues would need to be confronted by other investigators.
399 More importantly, despite the aforementioned limitations and sample size issues, the
400 models are generally interpretable and in agreement with the literature.

401

402 **4. Summary and Conclusions**

403 The current paper identified important predictors of indoor concentrations for
404 multiple air pollutants in a high-risk population, by utilizing public databases (e.g.

405 ambient monitor, GIS, tax assessment databases) and focused questionnaire data. Given
406 the numerous ways to characterize traffic, the use of a Bayesian variable selection
407 approach helped us better determine the appropriate traffic measures for each pollutant.
408 Our regression models indicate that PM_{2.5} was influenced less by local traffic but had
409 significant indoor sources, while EC was associated with local traffic and NO₂ was
410 associated with both traffic and indoor sources. Comparing models based on p-values
411 and using a Bayesian approach yielded similar results, with traffic density/volume within
412 a 50m buffer of a home and distance from a designated truck route as important
413 contributors to indoor levels of NO₂ and EC, respectively. However, results from the
414 Bayesian approach also suggested a high degree of uncertainty in selecting the best
415 model. We also found additional information value in the variable capturing the opening
416 of windows, previously shown to be associated with ventilation, which allowed our
417 model to keep with the principles of the mass balance model.

418 In general, our study provides some direction regarding how publicly available
419 data can be utilized in population studies, in order to predict residential indoor (and
420 therefore personal) exposures in the absence of measurements. We have demonstrated
421 that information on traffic applied in GIS framework in combination with ambient
422 monitoring data can be used as an effective substitute for home-specific outdoor
423 measurements. Along with some type of evaluation of the ventilation characteristics of
424 the home, the aforementioned information can be used to estimate indoor exposures of
425 outdoor dominated pollutants (e.g., EC). For those pollutants with significant indoor
426 sources (e.g. NO₂ and PM_{2.5}) questionnaire data capturing these sources is also needed.
427

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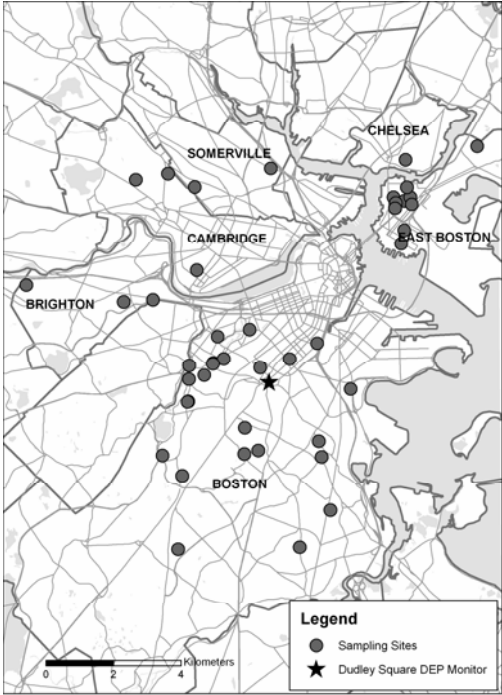


Figure 1. Location of sampling sites and DEP monitor

Table 1. Indoor, home-specific outdoor and ambient (from centrally located monitors) concentrations

Pollutant	Category	N	Mean (SD)	Median	Range
NO ₂ (ppb)	Indoor	54	19.6 (11.0)	17.1	5.67 – 61.1
	Home-Specific Outdoor	52	17.2 (5.67)	16.8	5.21 – 33.3
	Ambient	52	18.4 (3.86)	18.3	12.2 – 27.6
PM _{2.5} (µg/m ³)	Indoor	64	20.3 (12.5)	16.7	6.77 – 74.9
	Home-Specific Outdoor	60	14.2 (5.43)	12.6	6.75 – 31.3
	Ambient	60	15.4 (6.07)	14.6	6.24 – 45.7
EC (µg/m ³)	Indoor ^a	62	0.47 (0.29)	0.41	0.10 – 1.8
	Home-Specific Outdoor ^a	58	0.52 (0.41)	0.46	0.10 – 3.2
	Ambient	58	0.86 (0.34)	0.83	0.28 – 1.9

^a factor of 0.83 was used to convert from m⁻¹ x 10⁻⁵ to µg/m³ (Kinney et al. 2000), to allow for comparison between residential and ambient measurements.

Table 2. Coefficients of determination (R²) for NO₂, PM_{2.5}, and EC concentrations in univariate regression models.

Pollutant	Indoor vs. outdoor	Indoor vs. ambient	Outdoor vs. ambient
NO ₂	0.07	0.02	0.21
PM _{2.5}	0.23	0.20	0.65
EC	0.49	0.16	0.08

Table 3. Identification of traffic indicators contributing to indoor concentrations after adjusting for ambient concentrations and indoor source terms^a

Pollutant	R ²	Model	β (SE)	p-value
NO ₂ (ppb)	0.20	Ambient Concentrations	0.66 (0.35)	0.06
		Gas Stove Usage	5.0 (3.0)	0.11
		unweighted density at 50m buffer	0.06 (0.03)	0.02
PM _{2.5} (µg/m ³)	0.36	Ambient Concentrations	0.99 (0.25)	<0.01
		Cooking Time	5.1 (2.9)	0.08
		Occupant Density	5.2 (2.2)	0.02
EC (m ⁻¹ x 10 ⁻⁵)	0.21	Ambient Concentrations	0.26 (0.09)	< 0.01
		Distance to nearest designated truck route	-7.2 x 10 ⁻⁵ (4.2x 10 ⁻⁵)	0.01

^a only models with significant (p < 0.2) covariates are shown

Table 4. GIS-based variables grouped by algorithm used to create them and their posterior probabilities. Covariates with posterior probabilities three times (12.6%) greater than the prior probability (4.2%) are presented in bold.

	NO ₂	PM _{2.5}	EC
<i>Cumulative Traffic Scores (number of cars/day)</i>			
density of urban road ^a within 200m	2.39	3.48	3.02
unweighted density within 50m buffer	26.5	3.08	2.97
100m buffer	2.15	2.90	2.95
200m buffer	2.23	4.07	3.18
500m buffer	2.46	5.33	3.82
Kernel-weighted densities at 50m buffer	6.64	3.13	3.12
100m buffer	10.3	3.16	3.00
200m buffer	1.93	3.02	3.44
300m buffer	2.25	4.30	3.75
500m buffer	3.25	5.40	3.39
<i>Distance based measures (m)</i>			
Distance to nearest urban road	3.90	5.43	3.57
major road ^b	3.93	6.28	3.65
highway ^c	2.01	2.97	3.72
designated truck route	2.16	4.37	14.3
<i>Roadway Segment Length (m)</i>			
Total roadway length contained within 50m	5.76	3.48	3.36
100m	2.31	4.40	3.41
200m	2.30	5.18	2.95
300m	2.42	5.78	4.33
<i>Average Daily Traffic Scores (number of cars/day)</i>			
Average daily traffic (ADT)	2.04	8.34	5.04
ADT/distance to major road	2.27	3.00	3.45
<i>Diesel Measures: based on our traffic counter</i>			
Number of trucks/day on largest roadway within 100m	2.45	2.87	8.63
Diesel fraction on largest roadway within 100m	2.09	2.84	3.77
Trucks per day/distance to major road	4.06	3.16	2.96
<i>Population Density</i>			
<i>(for census block containing sampling site)</i>			
Population density	2.18	4.06	4.19

^a urban road defined as > 8500 cars/day

^b major road defined as > 13,000 cars/day

^c highway defined as > 19,000 cars/day

Table 5. Regression analyses of contributors to indoor concentrations accounting for the effect modification of open windows^a

	R ²	Model	β (SE)	p-value
NO ₂ (ppb)	0.25	Ambient Concentrations	0.79 (0.35)	0.03
		Gas Stove Usage	6.8 (3.1)	0.04
		unweighted density at 50m buffer*open windows = Yes	0.07 (0.03)	0.01
		unweighted density at 50m buffer*open windows = No	-0.03 (0.06)	0.62
PM _{2.5} (μg/m ³)	0.40	Ambient Concentrations*open windows = Yes	0.98 (0.32)	<0.01
		Ambient Concentrations*open windows = No	0.64 (0.32)	0.05
		Cooking Time	6.2 (2.9)	0.04
		Occupant Density	6.5 (2.3)	0.01
EC (m ⁻¹ x 10 ⁻⁵)	0.32	Ambient Concentrations	0.38 (0.09)	<0.0001
		Distance to nearest designated truck route* open windows = Yes	-9.2 x 10 ⁻⁵ (4.1x 10 ⁻⁵)	0.03
		Distance to nearest designated truck route* open windows = No	1.0 x 10 ⁻⁴ (5.9 x 10 ⁻⁵)	0.86

^a only significant interaction terms (p < 0.2) are shown