

## Lecture 12

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In the previous lecture we saw how to express the function  $\varphi(\rho)$  which is the normalized log of the expected number of code words with relative weight  $\rho$ . This was given by the formula:

$$\begin{aligned} \varphi(\rho) &= \sup_{\xi \in \{0,1\}} \inf_{\substack{x \geq 0 \\ y \geq 0 \\ z \geq 0}} \left\{ -\Lambda'(1)H(\xi) - \rho \log x - \Lambda'(1)\xi \log(yz) + \sum_{l=2}^{l_{\max}} \Lambda_l \log(1 + xy^l) + \frac{\Lambda'(1)}{P'(1)} \sum_{k=2}^{k_{\max}} P_k \log q_k(z) \right\} \\ &= -\rho \log x - \Lambda'(1) \log(1 + yz) + \sum_{l=1}^{l_{\max}} \Lambda_l (1 + xy^l) + \frac{\Lambda'(1)}{P'(1)} \sum_{k=2}^{k_{\max}} P_k \log q_k(z), \end{aligned}$$

where

$$\begin{aligned} \rho &= \sum_{l=1}^{l_{\max}} \Lambda_l \frac{xy^l}{1 + xy^l} & y &= \frac{\sum_{k=2}^{k_{\max}} \rho_k P_k^-(z)}{\sum_{k=2}^{k_{\max}} \rho_k P_k^+(z)} \\ z &= \frac{\sum_{l=1}^{l_{\max}} \lambda_l xy^{l-1} / (1 + xy^l)}{\sum_{l=1}^{l_{\max}} \lambda_l / (1 + xy^l)} & P_k^\pm(z) &= \frac{(1+z)^{k-1} \pm (1-z)^{k-1}}{(1+z)^k + (1-z)^k}. \end{aligned}$$

In this lecture we will use the formula above to obtain short-distance properties of LDPC codes. If there are no code words of weight greater than  $\delta n$  then for each pattern of up to  $\delta n/2$  errors we can recover the transmitted codeword correctly. This also implies that if errors are introduced independently on each coordinate with probability  $\delta' < \delta/2$  then w.h.p. the correct transmitted word can be recovered.

In order to derive short distance properties we will apply the formula above when

$$\rho \rightarrow 0,$$

Looking at the equation for  $\rho$  we see that  $\rho \rightarrow 0$  implies that either  $x \rightarrow 0$  or  $y \rightarrow 0$ . From the equation for  $y$  we see that  $y \rightarrow 0$  implies  $z \rightarrow 0$ . On the other hand  $x \rightarrow 0$  implies that  $z \rightarrow 0$  by the equation for  $z$  and  $z \rightarrow 0$  implies  $y \rightarrow 0$  by the equation for  $y$ . We thus conclude:

**Corollary 1** *When  $\rho \rightarrow 0$  we have  $y \rightarrow 0$  and  $z \rightarrow 0$  and therefore*

$$y \sim \frac{\sum_{k=2}^{k(\max)} \rho_k (k-1)z}{\sum_{k=2}^{k(\max)} \rho_k} = \rho'(1)z.$$

$$z \sim \frac{\lambda_{\ell(\min)}xy^{\ell(\min)-1}}{\sum \lambda_\ell} = \lambda_{\ell(\min)}xy^{\ell(\min)-1},$$

and

$$\rho \sim \Lambda_{\ell(\min)}xy^{\ell(\min)-1}.$$

From the corollary, it is clear that the short distance properties depend very strongly on the minimal possible variable degree. We will discuss the three cases:  $\ell(\min) = 1$ ,  $\ell(\min) = 2$  and  $\ell(\min) \geq 3$ .

$\ell(\min) = 1$  . In this case we obtain:

$$y \sim \rho'(z), \quad z \sim \lambda_1x, \quad \rho \sim \Lambda_1xy$$

and therefore

**Corollary 2**

$$\varphi(\rho) = -\frac{1}{2}\rho \log \rho + O(\rho)$$

and therefore for each  $\rho$  the expected number of code words of weight  $\rho$  is exponential in  $n$ .

In fact one can obtain the fact that in the case  $\ell(\min) = 1$  there are many codewords of small weight also with high-probability observing that

**Claim 3** *If  $\ell(\min) = 1$  then w.h.p. every codeword has  $\Omega(N)$  code-words at distance 2 from it.*

The proof of the claim follows by observing that w.h.p there is a linear fraction of factor nodes connected to variable nodes of degree 1 only.

$\ell(\min) = 2$

**Claim 4** *If  $\ell(\min) = 2$  then  $\varphi(\rho) \sim A\rho$  where*

$$A = \log \frac{P''(1)2\Lambda_2}{P'(1)\Lambda'(1)}$$

The proof of this claim is left as an exercise (1 point)

$$\ell(\min) = 3$$

**Claim 5** *If  $\ell(\min) = 3$  then*

$$\varphi(\rho) \sim \frac{\ell(\min) - 2}{2} \rho \log(\rho / \Lambda_{\ell(\min)}).$$

The proof of this claim is left as an exercise (1 point).

**Small linear distances and sub-linear distances** Using the previous two claims and a first moment argument we obtain:

**Corollary 6** *Consider LDPC with  $\ell(\min) \geq 3$  or  $\ell(\min) = 2$  and  $A < 0$ . Let  $\rho^*$  be the first non-trivial zero of  $\varphi$ . Then for any open interval  $(\rho_1, \rho_2) \subset [0, \rho^*]$  it holds that w.h.p there are no code words with weight in the interval  $N(\rho_1, \rho_2)$ .*

**Remark 7** *Note that the claim above does not exclude the case of codewords of sub-linear weight. In fact,*

- *When  $\ell(\min) = 2$  a small (but positive) number of code-words of sub-linear weight exists with high probability.*
- *When  $\ell(\min) \geq 3$  w.h.p. there are no code-words of sub-linear weight. The proof of this fact is similar to expansion proofs we will see later.*

## 0.1 Rate of LDPC codes

Recall that the *rate* of a linear code  $C \subset F_2^n$  is given by  $\log |C| / \log n$ . We have seen that for any code with degree distribution  $\Lambda', P'$  it holds that the rate  $R$  of the code satisfies:

$$R \geq 1 - \frac{\Lambda'(1)}{P'(1)}.$$

We will now see that generally for LDPC codes, it holds that the rate is indeed given w.h.p by

$$R = 1 - \frac{\Lambda'(1)}{P'(1)}.$$

One way to find an upper bound on the rate is to upper bound the maximum value of  $\varphi(\rho)$ . It is natural to expect that the maximum is obtained at  $\rho = 1/2$ .

**Exercise 8** Find conditions on the degree distributions implying that the maximum of  $\varphi$  is obtained at  $\rho = 1/2$ .

**Claim 9** Suppose that the maximum of  $\varphi$  is obtained at  $\rho = 1/2$  and that  $\delta > 0$  the w.h.p. it holds that

$$\mathbb{R} \leq 1 - \frac{\Lambda'(1)}{P'(1)} + \delta.$$

**Proof:** Using a first moment argument it suffices to show that

$$\varphi(1/2) \leq \log(2) \left( 1 - \frac{\Lambda'(1)}{P'(1)} \right).$$

Next one verifies that  $\rho = 1/2$  correspond to  $x = y = z = 1$  in the formula for  $\varphi$ . Plugging this into the formula then gives:

$$\varphi(1/2) = \log(2) \left( 1 - \frac{\Lambda'(1)}{P'(1)} \right).$$

□